

FORM PTO-1390 (REV. 02-2005)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER 40072-0028	
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371				U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 10/561212	
INTERNATIONAL APPLICATION NO. PCT/JP2004/008727		INTERNATIONAL FILING DATE June 21, 2004		PRIORITY DATE CLAIMED June 20, 2003	
TITLE OF INVENTION PRODUCT OF COPRECIPITATION OF SPARINGLY SOLUBLE SUBSTANCE AND WATER-SOLUBLE POLYMER AND PROCESS FOR PRODUCING THE SAME					
APPLICANT(S) FOR DO/EO/US Toyoaki ISHIKURA; Chikako UDAGAWA; Masato MISAKA; Kenji SUEMUNE; Shinichi KITAHARA; Kiyoko ONO; Akihiro KOYANAGI					
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:					
<p>1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</p> <p>4. <input checked="" type="checkbox"/> The US has been elected (Article 31).</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))</p> <p>a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</p> <p>b. <input checked="" type="checkbox"/> has been communicated by the International Bureau.</p> <p>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</p> <p>6. <input checked="" type="checkbox"/> A English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).</p> <p>a. <input checked="" type="checkbox"/> is attached hereto.</p> <p>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</p> <p>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <p>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</p> <p>b. <input type="checkbox"/> had been communicated by the International Bureau.</p> <p>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p>d. <input checked="" type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p>					
Items 11 to 20. below concern document(s) or information included:					
<p>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included</p> <p>13. <input checked="" type="checkbox"/> A preliminary amendment.</p> <p>14. <input checked="" type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.</p> <p>15. <input type="checkbox"/> A substitute specification.</p> <p>16. <input type="checkbox"/> A power of attorney and/or address letter.</p> <p>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 132 and 37 CFR 1.821-1825</p> <p>18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4)</p> <p>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</p> <p>20. <input checked="" type="checkbox"/> Other items or information: Copy of PCT/ISA/210; PCT/IB/308; PCT/IB/301; PCT/IB/304</p>					

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 1.2)		INTERNATIONAL APPLICATION NO.		ATTORNEY'S DOCKET NUMBER	
10/561212		PCT/JP2004/008727		40072-0028	

21. The following fees are submitted:				CALCULATIONS PTO USE ONLY	
<input checked="" type="checkbox"/>	a) Basic national fee.....	\$300.00			
<input checked="" type="checkbox"/>	b) Examination fee	\$200.00			
<input checked="" type="checkbox"/>	c) Search fee.....	\$500.00			
TOTAL OF ABOVE CALCULATIONS =			900.00		
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
TOTAL SHEETS	EXTRA SHEETS	NUMBER EXTRA (of each additional 50 or fraction thereof rounded up to a whole number)	RATE		
-100 =	50 =		x \$250.00		
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).					
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	15 - 20 =	0	x \$50.00		
Independent claims	1 - 3 =	0	x \$200.0		
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360.0		
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.					
SUBTOTAL =				900.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				+	
TOTAL NATIONAL FEE =					
Fee for recording the enclose assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				40.00	
TOTAL FEES ENCLOSED =				940.00	
				Amount to be refunded:	\$
				Amount to be charged:	\$

a. ☒ A check in the amount of \$940.00 to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees.

A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment To Deposit Account No. 08-1641 A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Customer No.: 26633

SIGNATURE

John P. Isacson

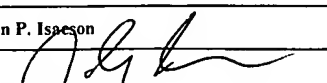
John P. Isacson, Reg. No. 33,715

NAME _____ Date _____

REGISTRATION NO.: 33,715 December 19, 2005

COMBINED FEE TRANSMITTAL for FY 2005 <i>Effective 12/08/2004. Patent fees are subject to annual revision.</i>		194561212 AP9 Rec'd PCH 10 19 DEC 2005	
PTO/SB/17 (12-04) (Revised) (For payment of 37 CFR 1.17 fees including (f), (g), (h), & (i))		Application Number To be assigned	Filing Date December 19, 2005
		First Named Inventor Toyoaki ISHIKURA et al.	Examiner Name To be assigned
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Art Unit To be assigned	Attorney Docket No. 40072-0028
TOTAL AMOUNT OF PAYMENT (\$) 940.00			

METHOD OF PAYMENT (check one)								FEE CALCULATION (continued)						
<input checked="" type="checkbox"/> Check <input type="checkbox"/> Deposit Account		<input type="checkbox"/> Credit card		<input type="checkbox"/> Money Order		<input type="checkbox"/> Other		<input type="checkbox"/> None		4. PETITION FEES UNDER 37 CFR 1.17 (f) Fee Code: 1462 Fee \$ 400 For petitions filed under: § 1.53(e); § 1.57(a); § 1.182; § 1.183; § 1.378(e); § 1.741(b)				Fee Paid
Deposit Account Number: 08-1641										5. PETITION FEES UNDER 37 CFR 1.17 (g) Fee Code: 1463 Fee \$ 200 For petitions filed under: § 1.12; § 1.14; § 1.47; § 1.59; § 1.103(a); § 1.136(b); § 1.295; § 1.296; § 1.377; § 1.550(e); § 1.956; § 5.12; § 5.15; § 5.25				Fee Paid
Deposit Account Name: Heller Ehrman White & McAuliffe LLP										6. PETITION FEES UNDER 37 CFR 1.17 (h) Fee Code: 1464 Fee \$ 130 For petitions filed under: § 1.19(g); § 1.84; § 1.91; § 1.102(d); § 1.138(c); § 1.313; § 1.314				Fee Paid
The Commissioner is authorized to: (check all that apply)												Fee Paid		
<input type="checkbox"/> Charge fee(s) indicated below												Fee Paid		
<input checked="" type="checkbox"/> Credit any overpayments and charge any deficiencies												Fee Paid		
<input type="checkbox"/> Charge any additional fee(s) during the pendency of this application												Fee Paid		
<input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the deposit account												Fee Paid		
FEE CALCULATION												Fee Paid		
1. BASIC FILING, SEARCH, AND EXAMINATION FEES												Fee Paid		
	FILING FEES		SEARCH FEES		EXAMINATION FEES			Entity Fee (\$)	Entity Fee (\$)	Fee Description		Fee Paid		
Application Type	Entity Fee (\$)	Small Entity Fee (\$)	Entity Fee (\$)	Small Entity Fee (\$)	Entity Fee (\$)	Small Entity Fee (\$)	Fees Paid (\$)							
Utility	300	150	500	250	200	100	900.00	130	65	Surcharge - late filing fee or oath				
Design	200	100	100	50	130	65		50	25	Surcharge - late provisional filing fee or cover sheet				
Plant	200	100	300	150	160	80		130	130	Non-English specification				
Reissue	300	150	500	250	600	300		2,520	2,520	For filing a request for <i>ex parte</i> reexamination				
Provisional	200	100	0	0	0	0		920*	920*	Requesting publication of SIR prior to Examiner action				
SUBTOTAL (1)							900.00	1,840*	1,840*	Requesting publication of SIR after Examiner action				
								120	60	Extension for reply within first month				
								450	225	Extension for reply within second month				
								1,020	510	Extension for reply within third month				
								1,590	795	Extension for reply within fourth month				
								2,160	1,080	Extension for reply within fifth month				
								500	250	Filing a brief in support of an appeal				
								790	395	Filing a submission after final rejection (37 CFR 1.129(a))				
								1,510	1,510	Petition to institute a public use proceeding				
								500	250	Petition to revive - unavoidably abandoned application				
								1,500	750	Petition to revive - unintentionally abandoned application				
								50	50	Processing fee for provisional appls (37 CFR 1.17(q))				
								180	180	Submission of Information Disclosure Statement				
								1,000	500	Request for oral hearing				
								790	395	For each additional invention to be examined (37 CFR 1.129(b))				
								790	395	Request for Continued Examination (RCE)				
								900	900	Request for expedited examination of a design application				
								Other fee (specify): Assignment				40.00		
								SUBTOTAL (4+5+6+7+8)				40.00		
								* Reduced by Basic Filing Fee Paid						

3. APPLICATION SIZE FEE											
If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof (round up to the whole number). See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s)											
Total Sheets	Extra Sheets	Number of each additional 50	Fee (\$)		Small Entity Fee (\$)						
-100 =	/50 =	x 250	OR	x 125							
SUBTOTAL (3)										\$	
SUBMITTED BY								Complete (if applicable)			
Name (Print/Type)	John P. Isacson			Registration No. (Attorney/Agent)	33,715			Telephone	202-912-2000		
Signature				Date	December 19, 2005			Customer No.	26633		